

61-30-06

DFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nielsen, James T.; et. al.

Assignee: Cardica, Inc.

Title: Surgical System and Method for Connecting Hollow Tissue Structures

Serial No.: 10/720,618 Filing Date: November 24, 2003

Examiner: Not yet assigned Group Art Unit: 3721

Docket No.: 142

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

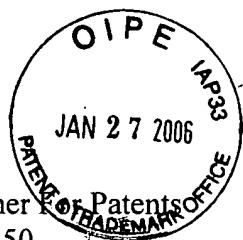
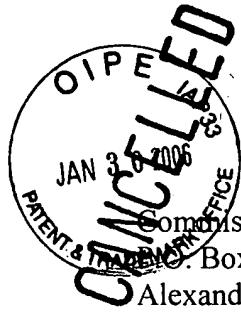
Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98(d) and MPEP 609(I)(A)(2), copies of references listed on the form PTO-1449 and explanations of relevance of any non-English-language references that have been submitted in a parent application of this patent application are not included. A written English language translation of patent number SU 1667844A1 is provided under MPEP 609.01(B)(3)(b). A copy of EP 0625335B1 is provided, which is an English-language document to which DE 69406845T2 claims priority.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

  
Brian A. Schar  
Reg. No. 45,076  
Attorney for Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063



January 27, 2006

Commissioner For Patents  
Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Nielsen, James T.; et. al.  
Assignee: Cardica, Inc.  
Title: Surgical System and Method for Connecting Hollow Tissue  
Structures  
Serial No.: 10/720,618  
Examiner: Not yet assigned  
Docket No.: 142

Filed: November 24, 2003  
Group Art Unit: 3721

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

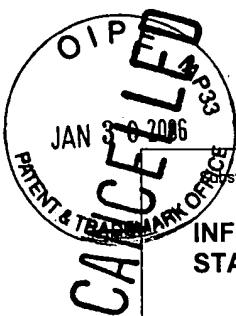
- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 3 references; and
- (4) Return postcard.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

**EXPRESS MAIL SERIAL NO.:**  
**EV430389276US**

Respectfully submitted,

  
Brian A. Schar, Esq.  
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PTO/SB/08a(07-05)  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known	
Application Number	10/720,618
Filing Date	November 24, 2003
First Named Inventor	Nielsen, James
Art Unit	3721
Examiner Name	Unknown

Sheet	1	of	1	Attorney Docket No: 142
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### US PATENT DOCUMENTS

Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-2005/0267496 A1	12/01/2005	Loshakove, Amir et al.	
		US-4241861	12/30/1980	Fleischer, Harry N.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
	DE-69406845	04/09/1998		
	EP-0625335B1	11/19/1997	Bolanos, Henry , et al.	
	SU 1667844	08/07/1991		

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached